

PATENT
Attorney Docket No. ASX-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Tracy et al. CONFIRMATION NO. 1681
SERIAL NO.: 10/642,505 GROUP NO.: 2834
FILING DATE: August 18, 2003 EXAMINER: Cuevas, Pedro, J.
TITLE: CONTROL SYSTEM FOR A SPUTTERING SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R., this statement is being filed (check one)

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☒ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☒ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

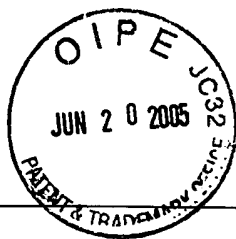
Respectfully submitted,



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SHEET 1 of 1

| FORM PTO - 1449 | | | | ATTY DOCKET NO.: ASX-063 | | | | | |
|--|-----|---|------------|------------------------------|-----------------|--------------|-------------------------------|------------------|-----------------------|
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT | | | | APPLICANTS: Tracy et al. | | | | | |
| | | | | SERIAL NO.: 10/642,505 | | | | | |
| | | | | FILING DATE: August 18, 2003 | | | | | |
| | | | | GROUP: 2834 | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | |
| EXAM INIT. | | DOCUMENT NO. | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | | |
| | A25 | 6,383,554 | 05/07/2002 | Chang et al. | 427 | 10 | 09/05/2000 | | |
| | A26 | 2003/0213559 | 11/20/2003 | Goodman | 156 | 345.28 | 05/20/2002 | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
| EXAM INIT. | | DOCUMENT NO. | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
| | B2 | DE 44 20 951 | 12/21/1995 | DE | | | | NO | NO |
| | B3 | EP 0 957 184 | 11/17/1999 | EP | | | | NO | YES |
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| OTHER ART, JOURNAL ARTICLES, ETC. | | | | | | | | | |
| EXAM INIT. | | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | |
| | C4 | International Search Report for International Patent Application Serial No. PCT/US2004/026684, dated May 10, 2005 (11 pages). | | | | | | | |
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| EXAMINER | | | | | DATE CONSIDERED | | | | |